

# Presentation on Socio-Economic Impact Assessment System (SEIAS)

#### **Presentation Outline**

- 1. Introduction and background
- 2. Institutional Arrangements
- 3. SEIAS within Policy and Planning Framework
- 4. Application of SEIAS and Process Map
- 5. SEIAS Implementation: Key Learning Points
- 6. Conclusion



#### 1. Introduction and Background

- ➤ SEIAS approved by Cabinet in February 2015 to replace the Regulatory Impact Assessment (RIA):
- The primary objective of SEIAS is to assists departments to better formulate policies, legislations & regulations and ensure:
  - Alignment with national priorities in promoting inclusive growth, addressing inequality, spatial imbalances, and environmental degradation
  - Risks associated with the implementation of such laws are thereof mitigated, thus unintended consequences are minimised
  - Costs of implementing such prescripts are reduced while benefits by the deprived service recipients are optimised; and
  - Regulatory burden is reduced, thus a better and efficient administrative mechanisms of implementing the national priorities
- ➤ All new/review/amendment Policies, Legislations and Regulations —Cabinet/Internally approved by EAs
- Implementation Date: 01 October 2015



#### Introduction....

- ➤ Thought through process and NOT a compliance driven mechanism with another "tick-box" to complete
- ➤ The rationale behind policy/ legislation development or review
- > Anticipate the implementation and readiness
- We are always looking at socio-economic costs/benefits and risks and not only at quantifiable economic costs.
- ➤ If there is quantification then the level of quantification should be proportionate to the need for it

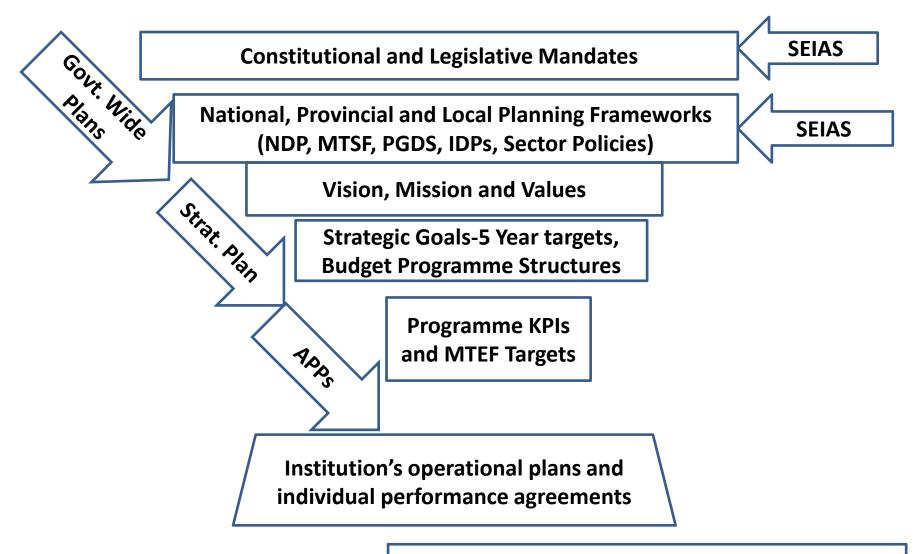


#### 2. Institutional Arrangements-SEIAS implementation

- ➤ SEIAS unit within DPME- Capacity, Coordination, Quality Assurance
- Cabinet Office-Ensure that all Policies/ Bills are accompanied by SEIAS reports
- ➤ Interdepartmental Steering Committee: Guidance, support, training and facilitation
- Departments-Responsible to use SEIAS when developing/review/amend Policies/Bills / Regulations as supported DPME
- ➤ Depts. SEIAS Champions- coordination and ensure that all policies/ bills and regulations are subjected to SEIAS by initiating units



### 3. SEIAS within Policy and Planning Framework





Adapted from Framework for Strategic Plans and APPs

#### **Evidence Based Policy Making and Implementation** Interventior SEIAS **Evaluations** Diagnostic Options for evaluation addressing the Theory of problem Phakisa change **Understand-**Design Smallholder ing the root Guideline SR causes 2.2.3 **DIAGNOSING PLANNING** Repository <u>th</u>at is known Operational plan Analysis of the Policy/Program and resourcing about the problem and me planning and Research problem **Budgeting?** options budgeting Document, **EPRs** evaluate. Agenda reflect & learn **OUTPUT Implementing OUTCOME &** What is the **Implementation** the plan **IMPACT** change – and monitoring desired and undesired? **Evaluations** -Monitoring the plan, Value for environment and Implementation, money? Are planned budget Impact, outcomes Economic Management Review, being

refine and

continue

achieved?

#### **Notes: SEIAS within Policy and Planning**

- ➤ Strategic Plans are tools to assist entities to prioritise and plan the progressive implementation of their legislative mandates, policies and programmes.
- ➤ Policies- both internal (sector) and external-such as the NDP, MTSF and etc.
- ➤ SEIAS prepares policy makers/ law drafters to proactively /anticipate/ think upfront the implications and implementation in relation to the problems identified
- ➤ Poor policy/law making result in poor programme design and other related interventions and thus persisting inequality, poverty, spatial imbalances and environmental degradation



#### 4. Application of SEIAS- Assessment Templates

## Initial Impact Assessment

- 1. What is the problem and why it should be addressed?
- What causes the problem and whose behaviour must change
- 3. Scoping options-dealing with the problem-benefits, implementation costs, risks and impact on national priorities
- 4. Mitigation of risks
- 5. Conclusion: Which option is most desirable, and why?

Most Desirable Option
Is the option still
relevant as the policy
advances-i.t.o.
development stages?

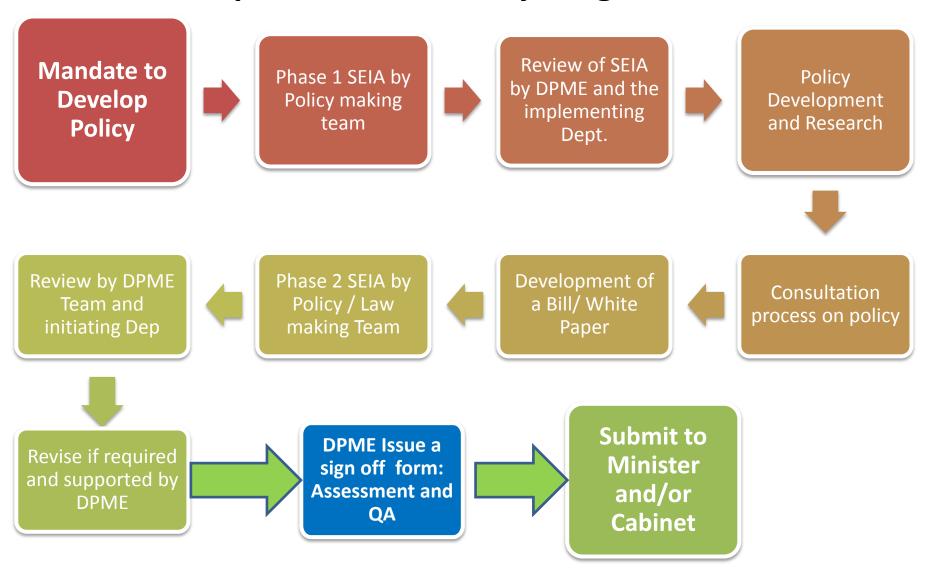
Significant time lag between the two assessments

## Final Impact Assessment

- 1. Summary of the proposal (policy/bill/ regulations)
- 2. Problem and root causes
- 3. Intended Outcomes (achieved)
- 4. Benefits/Incur costs/lose
- 5. Behaviours to change and mechanisms
- 6. Consultations
- 7. Disputes that might arise and mechanisms for settling them
- 8. Impact Assessment-Implementation/ Cost of changing behaviour and benefits
- 9. Risks and mitigation measures
- 10. Monitoring and Evaluation
- 11. Further minimising risks, cost and maximising benefits



#### **Process Map: SEIAS and Policy/Legislation Dev.**





#### 5. SEIAS implementation and Key Learning Points

- Provided training to 136 officials-33 Depts. (Legal Services, Policy Dev, M & E and Research)
- ➤ As at 16 Nov: 73 Prescripts subjected to SEIAS- 51 Bills, 9 Regulations and 13 policies- 389 officials were exposed to the facilitations and inductions
- ➤ SEIAS was welcomed across departments and seen as an methodology and tool in helping better formulation of policies, legislations and regulations, in particular to better understand benefits, risk and unintended consequences as well as measures to mitigate risks and possible cost
- ➤ The system brought officials from different disciplines within departments to have dialogue and input on policies and legislations i.e. M & E, Policy & Research, Line function (content) and Legal Services



#### **Key Learning Points cont...**

- ➤ SEIAS allows for better coordination in policy and legislative development within and across departments where there are overlapping mandates, roles and responsibilities
- ➤ In some instances, challenges were on problem identification and the root causes i.e. the rationale behind developing/amending policies and legislations and strategic linkages to the NDP
- Skewed assumptions that the proposed policy/ bill will address the identified problem/s
- There is a need to strengthen the implementation of the Legislative Programme-behind schedule



#### 6. Conclusion

- ➤ Further trainings are planned for 2016- targeting outstanding departments, SEIAS Champions and other line functions units involved in policy making
- ➤ SEIAS guidelines for process, procedure manual and standards will be issued by December 2015
- ➤ Colloquium is planned 2016 to allow departments to share experiences on SEIAS methodology, review the current assessment templates and adopt the process guidelines and standards for the financial year 2016/17.



Ke ya leboga Ke a leboha
Ke a leboga Ngiyabonga Ndiyabulela
Ngiyathokoza Ngiyabonga
Inkomu Ndi khou livhuha Thank you
Dankie
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